**Tamil Nadu Climate Resilient Urban Development Program (TNCRUDP)**

[P179189]

**Draft**

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) FOR IPF TA COMPONENT**

**Tamil Nadu Urban Infrastructure and Financial Services Ltd**

**&**

**Directorate of Municipal Administration, Government of Tamil Nadu**

**August 11, 2023**

*(Date: to be finally edited with the date of negotiations)*

**ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

1. Government of Tamil Nadu is implementing the Tamil Nadu Climate Resilient Urban Development (TNCRUDP) (the Program), through Tamil Nadu Urban Infrastructure and Financial Services Ltd (TNUIFSL) who manages Tamil Nadu Urban Development Fund (TNUDF) and Directorate of Municipal Administration (DMA) and, The World Bank *(hereinafter the Bank)* has agreed to provide financing for Technical Assistance (TA) through an IPF Component (the Project).
2. TNUIFSL and DMA, Government of Tamil Nadu will implement material measures and actions so that the IPF Component of TNCRUDP is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. TNUIFSL and DMA, Government of Tamil Nadu will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Stakeholder Engagement Plan (SEP), Labor Management Procedures including Occupational Health and Safety Management measures and the timelines specified in those E&S documents for the TA Component.

1. TNUIFSL and DMA, Government of Tamil Nadu is responsible for compliance with all requirements of the ESCP for the IPF Component.
2. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by TNUIFSL and DMA, Government of Tamil Nadu as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the IPF Component of TNCRUDP.
3. As agreed by the Bank and Government of Tamil Nadu, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of performance conducted under the ESCP itself. In such circumstances, TNUIFSL and DMA, Government of Tamil Nadu will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Government of Tamil Nadu. The Government of Tamil Nadu will promptly disclose the updated ESCP.
4. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Government of Tamil Nadu shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include*, such as environmental, health, and safety impacts, labor influx, gender-based violence*.

| **MATERIAL MEASURES AND ACTIONS** | | **TIMEFRAME** | **responsible ENTITY/AUTHORITY** |
| --- | --- | --- | --- |
| **MONITORING AND REPORTING FOR TA COMPONENT** | |  |  |
| **A.** | **REGULAR REPORTING for TA Component**  Prepare Quarterly Monitoring Reports on the environmental, social, health and safety (ESHS) performance of the project, stakeholder engagement activities and grievances log. | Starting from the Effectiveness date, Quarterly Monitoring Reports will be submitted no later than 30 days after the end of the each reporting period, throughout project implementation. | TNUIFSL / DMA |
| **B.** | **INCIDENTS AND ACCIDENTS**  Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. . Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any TA consultant and it’s supervising entity, as appropriate. Subsequently, as per the Bank’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence. | Notify the Bank within 24 hours after learning of the incident or accident classified as High or Extreme with actual consequence of Major or Catastrophic and share the Incident Report within 10 days in line with the World Bank’s Incident Reporting and Investigation Guidelines, June 2022 for South Asian Region.  Action taken status to be submitted with in a period of 15 days from the incident. | TNUIFSL / DMA |
| **ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS FOR TA COMPONENT** | | |  |
| **1.1** | **ORGANISATIONAL STRUCTURE for TA Component**  *Throughout project implementation, PMU shall be maintained at* with qualified staff and resources to support management of ESHS risks and impacts of the Project *including* an Environmental, health and safety specialist, Social Specialist and gender Expert, Communication *and stakeholder engagement specialist*.  **TNUIFSL**    **DMA** | Within three months of Project Effectiveness  In TNUIFSL resources are already in place. The available E&S experts will take care of ESHS, Gender, Communication and stakeholder consultations and additional experts will be appointed as and when required.  DMA already has a PMU and additional experts E&S will be appointed as and when required. | TNUIFSL / DMA |
| **1.2** | **ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS**  Incorporate relevant aspects of ESS2 (Labour & working conditions), ESS3 (Resource Efficiency Pollution prevention & Management) , ESS10 (Stakeholder Engagement and Information Disclosure) and ESHS measures, specifications, personnel in the terms of reference of TA Consultants including PMC of TNCRUDP, before launching the procurement process for the relevant Project activities and shall thereafter complied with throughout the carrying out of such activities. | *Throughout Project implementation.* | TNUIFSL / DMA |
| **1.3** | **ENVIRONMENTAL STATUTORY CLEARANCE AND APPROVALS**  As part of TA t capture the list of clearances, licenses/approvals and permits if any, under existing regulatory framework that are applicable / required and from relevant national, state and/or local authorities. | Before start of Works/activities which require such permits will be obtained by the respective implementing agencies. | All Project Agencies, TA consultants/ Vendors as applicable |
| **1.4** | **MANAGEMENT OF CONSULTANTS / VENDORS**  ESHS specifications, personnel shall be included in the contract documents for TA.  **Implementation**  The obligation of the TA consultants to prepare ESMP & ESHS in TA products shall be mentioned | *Throughout Project implementation.* | All Project Agencies, TA consultants/ Vendors as applicable |
| **1.5** | **TECHNICAL ASSISTANCE**  Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the TA Component of the Project are carried out in accordance with terms of reference acceptable to the World Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference. | *Throughout Project implementation.* | TNUIFSL / DMA |
| **ESS 2: LABOR AND WORKING CONDITIONS FOR TA COMPONENT** | |  |  |
| **2.1** | **LABOR MANAGEMENT PROCEDURES FOR TA COMPONENT** |  |  |
|  | The TA Component shall be carried out in accordance with the applicable requirements of ESS2 including through, inter alia, a) implementing adequate occupational health and safety measures (including personal protective equipment, and emergency preparedness and response measures), b) setting up grievance redressal mechanisms for Project workers, and c) incorporating labor requirements into the ESHS specifications of the procurement documents under this IPF TA. Necessary resources will be included in the scope of the studies to be taken up under TA. Further undertaking will be obtained from the prospective Consultancy Firm/Consultant. | *Throughout Project implementation.* | TNUIFSL / DMA |
| **2.2** | **GRIEVANCE MECHANISM FOR TA COMPONENT STAFF** |  |  |
|  | Setting up of GRM by the Consultants / Vendors | *Throughout Project implementation.* | All Project agencies including TNUIFSL, DMA |
| **2.3** | **OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES UNDER TA COMPONENT** |  |  |
|  | Implement appropriate OHS measures to maintain safe working environment and workplace. Relevant staff involved in IPF Component shall be trained and remedies for occupational injuries death and disability and will follow the WBG’s Environment Health and Safety Guidelines (EHSG).  Regularly monitor and supervise OHS as part of all IPF Component activities | *Throughout Project implementation.* | All Project agencies including TNUIFSL, DMA |
| **ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT UNDER TA COMPONENT** | | |  |
|  | Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to follow ‘Green Protocol’ that will avoid and minimize using plastics, manage all solid waste (from training and capacity building activities including packaging waste) and e-waste from developing, training/capacity development, purchase of equipment. and use of management information systems, and preferably use of energy efficient equipment (including ICT air conditioners, lights and fans) by incorporating the requirements in the ToRs for IPF Component. | Continuous, throughout Project implementation. | All Project agencies including TNUIFSL, DMA |
| **ESS 4: COMMUNITY HEALTH AND SAFETY UNDER TA COMPONENT** | |  |  |
|  | Not relevant |  | Consultants / Vendors |
| **ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT UNDER TA COMPONENT** | | |  |
|  | Not relevant | Not relevant | Not relevant |
| **ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES UNDER TA COMPONENT** | | |  |
|  | Not relevant | Not relevant | Not relevant |
| **ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES UNDER TA COMPONENT** | | | |
|  | Not relevant |  |  |
| **ESS 8: CULTURAL HERITAGE UNDER TA COMPONENT** | |  |  |
|  | Not relevant  Chance Find procedures to be followed if any such Heritage found during intrusive activities related to TA | Throughout project preparation | Consultants, Vendors |
| **ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE UNDER TA COMPONENT** | |  |  |
|  | TA activities specific SEP including information disclosure will be prepared and implemented. | Throughout project preparation. | TNUIFSL / DMA |
|  |  |  |  |
|  | **CAPACITY SUPPORT** |  |  |
| CS.1 | Project Management Unit (at TNUIFSL & DMA) and other relevant implementing support staff responsible for the Project to receive training on the Project’s ESHS plans and instruments, and the roles and responsibilities of different key agencies in the ESF implementation related to IPF Component.  Based on E&S Capacity Assessment following types of training shall be provided to the relevant target groups   1. Orientation & periodic training to staff of Project agencies on the World Bank ESF and its implementation and supervision requirements related TA Component 2. Solid / Plastic Waste & E-Waste Management for activities supported by TA 3. OHS / CHS including for TA consultants, vendors 4. Training on GBV/SEA for all TA Component Staff .   Additional training needs shall be identified and provided during project implementation | Throughout TNCRUDP implementation | TNUIFSL / DMA |